

# ICEDIS Meeting:

## Frankfurt Monday 12<sup>th</sup> October 2009

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### Attendees:

Ramon Schrama	Swets (Chair)
Keith Abbott	Wiley Blackwell
Hideaki Asai	Kinokuniya
Mark Bide	EDItEUR
Lesley Boyle	Cambridge University Press
Todd Carpenter	NISO
Francis Cave	EDItEUR
Jerome Conquet	Prenax
Tim Devenport	EDItEUR
Philip Edwards	Informa (Taylor & Francis)
Richard Gedye	Oxford University Press
Stella Griffiths	EDItEUR
Daniel Hangartner	Karger Libri
Helen Henderson	Ringgold
Mona Hidayet	Advantage Computing Systems
Elizabeth Just	Turpin Distribution
John Keston-Hole	Publishing Technology
Frank Mapes	Ebsco
David Martin	EDItEUR
Alan Medd	Turpin Distribution
Margaret Morgan	John Wiley
Andrea Murdoch	Karger Libri
William O'Connor	IEEE
Takaaki Ohkuma	Maruzen
Robb Sigman	Think Subscription
Babette Steinhage	Harrassowitz
Harriet Stormbom	LMInformation Delivery
Joost van Leusen	Softshare
Keith Whiter	Elsevier
Andrew Wright	Oxford University Press
Sarah Wright	Taylor & Francis

### 1. Introduction and welcome

Participants introduced themselves: in addition to many delegates from ICEDIS member organizations, a number of visitors attended to familiarize themselves with the work of the committee. The current co-chair (Ramon Schrama) explained to the delegates that following the resignation of Greg Malar that it was preferred, as a complementary measure, that the new co-chair should be from a publishing organisation. *Anyone who wishes to be a candidate should indicate his/her interest to Mark Bide in the first instance.*

### 2. Minutes & actions from previous meeting (Torquay, March 2009)

The minutes were approved. However, there was a comment that the minutes of the last meeting had made reference to the desirability of widening the membership of ICEDIS among medium-sized publishers and although this aspiration was agreed, it was also acknowledged at the current meeting that there was a need to expand the membership in

terms of subscription agencies. It was stated that EDItEUR was also considering a possible broad-based membership push within the next year.

Mark Bide updated the committee on progress concerning the agreed action points from the previous meeting. In a number of cases, (for example the accreditation scheme) work was still planned or was in progress but had not been completed yet. Other matters such as the roadmap and claims reduction had been significantly progressed and would be discussed later in the agenda as dedicated items. Tim Devenport advised that the survey of standards implemented was well underway, but that further responses were awaited before a final report could be prepared. In the case of the e-activation message it was noted that work was complete from the EDItEUR perspective but the work could not proceed to the implementation stage until participants were willing to undertake pilot projects. A meeting concerning ONIX-PL was due to take place later in the week and Francis Cave would report at the EDItEUR Open Meeting about the results of the EDItX and EDIFACT review and the likely future development plans and priorities that were emerging on those fronts.

### **3. ICEDIS Price Message**

This project was successfully undertaken during 2009 and the message developed essentially over a period of 3 to 4 months. Tim Devenport suggested that the approach taken – a small but active working group involving both business and technical representatives, communication via email and monthly phone conferences - could be used as a template for future initiatives.

The Chairman asked if anyone present had implemented the message yet. Although no-one had fully implemented it yet, there was encouraging signs that this would be achieved in time for the next pricing round. Wiley stated that they expected to have implemented a fully compliant message in XML by the 2010/11 subscription round (currently they are using an .xls spreadsheet based on the XML schema). A test file has been produced and sent to subscription agents. Although Wiley had not had problems with the message per se, they had found that some parts of the schema were open to interpretation. *It was agreed that EDItEUR would make any necessary clarifications to the documentation as a matter of urgency. It was also agreed that certain general lessons and advice documented by Wiley would be made available to other prospective implementers.*

The delegates felt that the timing this year had been quite difficult as the release of the price message, although very welcome, had come just as other developments were occurring and was also too close to the pricing round. Elsevier were starting to carry out the necessary technical work but were keen to know that it would be widely used and adopted before committing to it as a high priority. *It was suggested, and agreed, that defining partnerships would help to move implementation progress forward at a faster pace.*

*It was agreed that Tim Devenport would arrange a meeting around the time of London Online (December 2009) to continue the discussions with a view to wide implementation, sufficiently early during 2010.*

### **4. ICEDIS Roadmap**

Tim Devenport introduced this agenda item by first of all thanking everyone for their input. He stated that a clear prioritization of projects had emerged from the questionnaire and it was pleasing to see that work on pricing communication had come out at the top, confirming that it had been right to concentrate on this as an area of focus. Details of the responses and recommendations were contained in the various documents circulated before the meeting.

EDItEUR was now seeking the views of the delegates in terms of when their respective organizations needed and wanted developments to be ready for in order that EDItEUR could plan its own work schedules and resources. Against this context it might be more appropriate to have a smaller number of projects initiated and then return for fresh prioritization plans once these were underway. A small number of projects were identified as being part of this first tranche:

- **Finding a solution to the problem of identifying institutions consistently across the serials industry**

*It was proposed and agreed that a document, framing the issues and priorities, should be produced as a basis for discussion by the members.*

*It was also agreed that an earlier document that had been co-authored by Elsevier and Swets would be provided to Tim Devenport as further background to the discussion document.*

- **Claims and claims responses**

Claims management continues to be a difficult and resource-hungry area for both printed and online journals. It was noted that better information on publication schedules and individual publication dates would also benefit this area. It was agreed that this should be the immediate next top priority and the Chairman asked for participants who would be willing to partner. (Elsevier, Wiley, Oxford Journals, Taylor & Francis, Ebsco, LM Info, Swets and Harrassowitz all agreed to be involved).

Tim Devenport also mentioned that Sarah Durrant has indicated ASA's support in principle for this initiative. Todd Carpenter remarked that NISO has planned work in this area over essentially the same period as envisaged by ICEDIS: it was agreed that both parties should keep communication lines open on this, to promote knowledge sharing and minimize duplicated effort.

- **Handling transfers and cessations**

It was suggested to consider this in conjunction with Project TRANSFER which could assist in terms of developing codes of practice and guidelines. Some facilities have already been introduced via the new Price Message and others have been suggested by Springer.

## **5. Claims & responses**

Following the agreement that this would constitute the next major priority, the meeting discussed the area in more detail.

*It was proposed and agreed to seek and analyse volumetric data on this – for example, the number and types of claims, the impact of consolidation, the number of claims that agents*

receive and the percentage that they pass on. *It was agreed that EDItEUR would compile a questionnaire to gather this information and feed it back to ICEDIS members. Data will be aggregated together and anonymised to allay commercial sensitivities.* The views of ILS vendors (through NISO) and systems vendors (through EDItEUR) would also be sought.

*It was agreed that publishers and agents would work together in pairs to define the information required in such exchanges, the detailed use cases anticipated and whether a separate format would be needed for online resources ahead of the next ICEDIS meeting at the Edinburgh UKSG meeting in Spring 2010. The agreed pairings were:*

Wiley and Ebsco  
Taylor & Francis and LM Info  
Elsevier and Harrassowitz  
Oxford Journals and Swets

The importance of scheduling and dispatch information in managing claims was again stressed. In an ideal situation, such information would be used to more straightforwardly populate ILS systems. The need for agreement on delivery lead times was also mentioned.

*Tim Devenport and Stella Griffiths to set up a series of telephone meetings and to promote use of the email discussion group to facilitate progress. The overall objective will be to present proposals to the next regular meeting of ICEDIS at UKSG in Edinburgh, Spring 2010.*

## **6. Other message priorities**

It was generally agreed that the topics decided upon – Price Message rollout, Claims & Claims Response, and a re-examination of Institutional Identifiers and Addresses – would be more than sufficient to occupy ICEDIS members' attention in the short to mid-term. Once these initiatives are underway, it should be easier to return to considering a wider prioritization/planning framework.

## **7. Reports on other serials-related projects:**

Helen Henderson updated the meeting on three initiatives:

- The NISO I<sup>2</sup> project dealing with Institutional Identifiers.
- Recent developments on Project TRANSFER.
- Collaborative work with EDItEUR on simplified expressions of Serial Holdings information.

Mark Bide noted that there is also an issue around the identification of Publishers and briefly advised the meeting about work ongoing on Public Identities.

Todd Carpenter then brought the meeting up to date on a number of NISO initiatives with a direct bearing on the Serials supply chain:

- The COUNTER standard for online usage measurement will be moving to Release 3 in the near future; and quite soon, SUSHI capability will become a prerequisite for COUNTER compliancy.

- Work has been underway between NISO and UKSG on Knowledge Bases and Open URL linking and resolution: this study is out for comment now.
- A comprehensive gap analysis is being undertaken on e-Resource Management, based on the ERMI framework: the aim is to better facilitate process flows and key process steps.
- SUSHI usage reporting and price-per-unit studies are underway: ONIX-PL may form part of solutions in this area.
- NISO is looking more formally to standardize the widely-used NLM DTD: specifically it is looking for participation from production-function participants from publishers to assist with this.
- Single Signature Authentication, or how to pass authenticated users more seamlessly from one system to another, is the subject of another study.
- The Representation of Titles Online, for example how titles change over time, is being analysed with the aim of utilizing such information to promote resource discovery.
- Finally, Todd advised that the US Copyright Office is reviewing “deposit” requirement for mandatory deposit of online publications. This could be a very significant change in requirements and ONIX for Serials SOH may form part of an eventual solution.

## **8. EDItEUR update**

Mark Bide referred the meeting to the EDItEUR Newsletter, recently circulated, for an overview of recent EDItEUR activities. He then singled out several areas for particular attention:

- ONIX for Books has published a Release 3, including a major push on e-book handling requirements, with its first implementer in September 2009.
- Rights Communications continue to be a focus for attention, with at least three significant initiatives underway at present:
  - ONIX-PL itself;
  - work on the Books Rights Repository (arising from the Google issue) with BISG;
  - and the ARROW (European Rights Repository) project.

Participants were invited to sign up to receive the EDItEUR Newsletter, if they have not already done so.

## **9. Any other business**

The ICEDIS award for Most Enthusiastic Promoter of a New Standard was given to Keith Abbott (Wiley) for his tireless energy and support of the price message initiative. Congratulations, Keith & the Wiley Team!

## **10. Dates/arrangements for next ICEDIS committee meeting**

*The next regular ICEDIS meeting will take place on Sunday April 11, 2010 on the eve of the UKSG meeting in Edinburgh. Details of any other intermediate meetings, for example on the Claims and Price Message projects, will be communicated separately.*